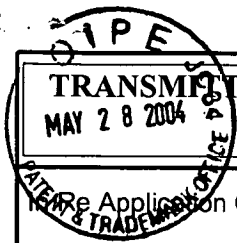


DFW



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
4959

Re Application Of: Kelkar et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/763,812	January 23, 2004			1764	

Title: NOx Reduction Composition for Use in FCC Processes

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ the statement specified in 37 CFR 1.97(e);

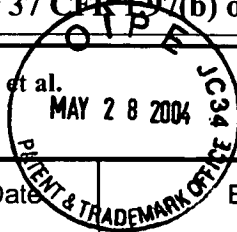
OR

- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
4959

In Re Application: Kelkar et al.



Application No. 10/763,812	Filing Date January 23, 2004	Examiner	Customer No.	Group Art Unit 1764	Confirmation No.
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_).

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on May 27, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in cursive script, appearing to read "Stuart D. Frenkel".

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Stuart D. Frenkel

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

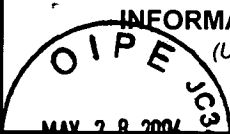
\*This certificate may only be used if paying by deposit account.

A handwritten signature in cursive script, appearing to read "Stuart D. Frenkel".  
\_\_\_\_\_  
Signature

Dated: May 27, 2004

Stuart D. Frenkel  
Reg. No. 29,500  
3975 University Drive  
Suite 330  
Fairfax, VA 22030  
Telephone: (703) 246-9641  
Facsimile: (703) 246-9646

CC:

<p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> <div style="position: absolute; left: 0; top: 50px; text-align: center;">  </div>	ATTY DOCKET NO. <b>4959</b>	APPLICATION NO. <b>10/763,812</b>
	APPLICANT(S) <b>Kelkar et al.</b>	
	FILING DATE <b>January 23 2004</b>	GROUP ART UNIT <b>1764</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 4,624,940	11/25/1986	Wan et al.			
		US 5,002,654	3/26/1991	Chin			
		US 5,021,146	6/4/1991	Chin			
		US 5,037,538	8/6/1991	Chin et al.			
		US 5,057,483	10/15/1991	Wan			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 2003/0100447	5/29/2003	Deeba et al.			
		US 2003/0098259	5/29/2003	Kelkar et al.			

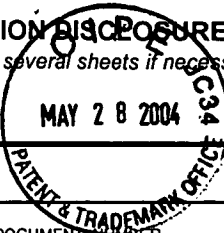
FOREIGN PATENT DOCUMENTS								
*	EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
								YES      NO

OTHER DOCUMENTS    (Including Author, Title, Date, Pertinent Pages, Etc.)

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>4959</b>		APPLICATION NO. <b>10/763,812</b>	
				<b>Kelkar et al.</b>			
				FILING <b>January 23 2004</b>		GROUP ART <b>1764</b>	



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 5,085,762	2/4/1992	Absil et al.			
		US 5,364,517	11/15/1994	Dieckmann et al.			
		US 5,750,020	5/12/1998	Bhattacharyya et al.			
		US 6,133,194	10/17/2000	Cuif et al.			
		US 6,143,167	11/7/2000	Peters et al.			

U.S. PATENT APPLICATION PUBLICATIONS							
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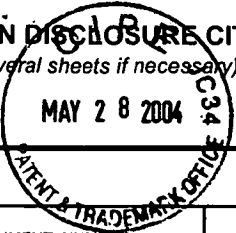
FOREIGN PATENT DOCUMENTS								
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							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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				<b>Kelkar et al.</b>			
				FILING <b>January 23 2004</b>		GROUP ART <b>1764</b>	



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,165,933	12/26/2000	Peters et al.			
		US 6,129,834	10/10/2000	Peters et al.			
		US 6,248,688	6/19/2001	Wu et al.			
		US 6,528,029	3/4/2003	Dettling et al.			
		US 6,576,207	6/10/2003	Mussmann et al.			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
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							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

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